

Mail Stop Patent Application  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

DOCKET NO. IL920030008US1  
Date: July 16, 2003

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Yariv Aridor, Ofer Biran, Srirama Krishnakumar, Gregory Pruett  
and Ben-Ami Yassour

**ASSIGNEE NAME: International Business Machines Corporation**  
**ASSIGNEE RESIDENCE: Armonk, New York**

For: **A Method and System for Managing Multi-tier Application Complexes**

Enclosed are:

☒ 7 Sheets of Formal Drawings.

☐ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

☐ A certified copy of a \_\_\_\_\_ application, dated \_\_\_\_\_, no. \_\_\_\_\_.

☒ Unsigned Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 750.00
TOTAL CLAIMS	21 - 20 =	1	X \$ 18 =	\$ 18.00
INDEP CLAIMS	3 - 3 =	0	X \$ 84 =	\$ 0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ \$ 280 =	\$ 0.00
If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			TOTAL	\$ 768.00

☒ Please charge my Deposit Account No. 09-0468 in the amount of \$ 768.00

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this sheet is enclosed.

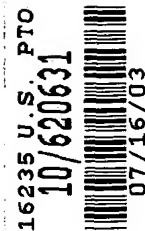
☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By Stephen C. Kaufman  
Stephen C. Kaufman  
Registration No.: 29,551  
Tel. (914) 945-3197

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598  
Fax: 914-945-3281



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Yariv Aridor, Ofer Biran, Srirama Krishnakumar,  
Gregory Pruett and Ben-Ami Yassour**

Serial No.: **To be Assigned**  
Filed: **Herewith**

Group No. **To be Assigned**  
Examiner:

For: **A Method and System for Managing Multi-tier Application Complexes**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

Express Mail Label Number EV 309868406 US  
Date of Deposit July 16, 2003

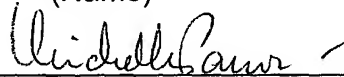
I hereby certify that the attached paper or fee

1. Acknowledgment Post Card;
2. Application Transmittal Sheet (in duplicate);
3. Application with unsigned Declaration attached; and
4. Set of Seven (7) Formal Drawings;

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Michelle Parra

(Name)



Signature

**Note:** Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

**Note:** The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

Docket No. IL920030008US1